

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

**SECURITIES AND EXCHANGE  
COMMISSION,**

**No. 17-cv-8223 (PKC)**

**Plaintiff,**

**v.**

**MOHAMMED ALI RASHID,**

**Defendant.**

**PLAINTIFF SECURITIES AND EXCHANGE COMMISSION'S  
NOTICE OF FILING DIRECT TESTIMONY DECLARATIONS**

Plaintiff Securities and Exchange Commission hereby provides notice of filing declarations of witnesses whose direct testimony will be offered by declaration pursuant to the Court's May 17, 2019 Order [Doc. 92]. Exhibit 1 attached hereto is an index of those declarations listing the declarations in alphabetical order. The undersigned will also provide hard copies of the declarations to Chambers in accordance with the Individual Practices of the Honorable P. Kevin Castel. The declarations themselves follow this filing as subsequent exhibits.

Respectfully submitted,

Of Counsel:  
Corey A. Schuster  
Donna K. Norman  
Securities and Exchange Commission  
100 F Street NE  
Washington, D.C. 20549

/s/ James M. Carlson  
Duane K. Thompson  
James M. Carlson  
Securities and Exchange Commission  
100 F Street NE  
Washington, D.C. 20549

ATTORNEYS FOR PLAINTIFF

Dated: July 22, 2019

**CERTIFICATE OF SERVICE**

I hereby certify that a true and correct copy of the foregoing was served by the CM/ECF system – or by other methods if so noted – on July 22, 2019 upon all counsel listed below:

Gregory W. Kehoe  
David Friedman  
Greenberg Traurig, LLP  
200 Park Avenue  
New York, New York 10166  
(212) 801-9200  
[Kehoe@gtlaw.com](mailto:Kehoe@gtlaw.com)  
[FriedmanD@gtlaw.com](mailto:FriedmanD@gtlaw.com)

Theresa M.B. Van Vliet  
Genovese Joblove & Battista, P.A.  
200 E. Broward Blvd., Ste. 1110  
Ft. Lauderdale, FL 33301  
(954) 453-8000

*Attorneys for Defendant*

/s/ James M. Carlson  
James M. Carlson